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Developing a data-enabled nudge intervention for childhood antibiotics in primary care: a qualitative study

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1 **Developing a data-enabled nudge intervention for childhood antibiotics in**
2 **primary care: a qualitative study**

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26 **Abstract**

27

28 **Background:** Preschool children (aged ≤ 5 years old) have the highest antibiotic prescribing
29 rate in general practice, mostly for self-limiting acute respiratory tract infections (RTIs).
30 Research from over 250,000 UK children suggests that a child's antibiotic history for RTI may
31 be a good predictor for re-consulting a health professional for the same illness episode and
32 increase clinical workload.

33

34 **Aim:** To develop a data-enabled nudge intervention to optimise antibiotic prescribing for
35 acute RTI based on a child's antibiotic history in general practice

36

37 **Design and Setting:** Two phase qualitative study with parents/carers of preschool children
38 and primary care clinicians

39

40 **Method:** In phase 1, through an initial focus group with eight parents/carers and 'think
41 aloud' interviews with 11 clinicians, we co-designed the intervention (computer screen
42 prompt and personalised consultation leaflet). In phase 2, 13 clinicians used the
43 intervention, integrated into the GP computer software, and share their feedback through
44 'think aloud' interviews. Interviews were audio-recorded, transcribed, and analysed
45 thematically.

46

47 **Results:** We co-created a data-driven intervention that automatically integrates a child's
48 antibiotic history for acute RTI and personalised leaflet into the electronic medical records.
49 We found that parents and clinicians found this intervention, in principle, acceptable and
50 feasible to use in primary care consultations.

51

52 **Conclusion:** Delivering such interventions, integrated into practice workflow, could be
53 efficiently scaled up to promote effective antimicrobial stewardship and reduce unnecessary
54 antibiotic use in primary care. Further research will test this intervention in a future trial.

55

56

57

58

58 **Keywords**

59

60 Antibiotic prescribing; children; primary health care, antibiotic stewardship, qualitative
61 research; feedback

62

63

64 **How this fits in**

65

66 ■ The most effective interventions are multifaceted and target both patients and clinicians
67 during consultations. Providing automatic, computer-integrated prescribing prompts

68 have led to decreased antibiotic prescribing for acute RTIs in adults but not in children.

69 ■ Research suggests that preschool children receiving antibiotics for acute RTI in the past
70 year were more likely to re-consult for the same illness episode, increasing clinical
71 workload. Children receiving ≥ 2 antibiotic courses in the preceding year were most likely
72 to be affected.

73 ■ Heeding a child's antibiotic history might be an important cue which might influence
74 decision-making to achieve a change in behaviour without restricting prescribing
75 options.

76 ■ This study aimed to co-design an intervention that incorporates this antibiotic exposure
77 data as a nudge which might prompt clinicians to consider a non-antibiotic strategy for
78 self-limiting acute RTI in preschool children.

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85 Background

86

87 Preschool children (aged ≤ 5 years old) have the highest antibiotic prescribing rate in general
88 practice.[1, 2] The majority of these prescriptions are for self-limiting respiratory tract
89 infections (RTIs) where antibiotics do not have any additional clinical benefit.[3-6]. Yet at
90 least 1 in 3 children are prescribed an antibiotic course for these illnesses. Previous research
91 has shown the many factors that influence antibiotic prescribing for RTI [7, 8] and in
92 particular childhood RTI [9-13] Safety remains an overriding principle, and in the context of
93 clinical uncertainty, often leads to risk averse overprescribing of antibiotics. A UK primary
94 care database study showed that the proportion of preschool children prescribed an
95 antibiotic course for acute RTI was 45%.[14] This exposes children to antibiotic side-effects
96 and other harms e.g. antibiotic resistance.

97

98 There have been many interventions to optimise antibiotic prescribing for acute RTIs. These
99 have often been resource-intensive, have many barriers to wider and consistent
100 implementation, or have a modest or transient effect on antibiotic prescribing rates.[15-22]
101 The most effective interventions are multifaceted and target both patients and clinicians
102 during consultations. Some have shown that providing automatic, computer-integrated
103 prescribing prompts can be helpful.[15, 16, 23] These interventions promote current
104 prescribing guidelines, and have led to decreased antibiotic prescribing for RTIs in adults but
105 not in children.[24, 25] This indicates the potential complexity of clinical- and shared
106 decision-making [26] and uncertainty in prescribing for children.[9] It also signals a need to
107 employ stratified interventions, tailored to specific age groups.[27]

108

109

110 *Developing a 'nudge' intervention*

111 The concept of "nudge" has emerged from behavioural economics to explain and promote
112 improved decision-making.[28, 29] Behavioural economics recognises that contextual,
113 psychological, social, and emotional factors influence decision-making and that subtle
114 environmental changes, or "nudges", have the potential to influence people's
115 behaviour.[30] A nudge intervention 'is any aspect of the choice architecture that alters
116 people's behaviour in a predictable way without forbidding any options or significantly
117 changing their economic incentives'. [29, 31] Put simply, nudge interventions are light-touch
118 behaviour change strategies, providing a subtle way of altering people's behaviour in a
119 predictable way without forbidding or adding any options or changing incentives. Nudge
120 interventions are simple and low-cost, and thus are attractive to managers and
121 policymakers. Nudge interventions have also been applied to antibiotic prescribing.[32]
122 However, these interventions have mostly come in the form of social norm feedback on
123 prescribing which focussed on clinician peer-comparison. The effects on prescribing were
124 often transient and/or labour-intensive.[33, 34]

125

126 *Significance of a child's antibiotic history*

127 Research from over 250,000 UK children highlighted that those children who had taken ≥ 2
128 antibiotic courses for RTIs in the last year had around a 30% greater chance of 'not
129 responding' to treatment for future RTIs compared to children who had not taken
130 antibiotics.[14] Although these 'antibiotic non-response' data may relate to suboptimal

131 diagnosis, inappropriate treatment and/or medicalisation of self-limiting RTIs in children
132 rather than lack of treatment effectiveness, this childhood ‘antibiotic history’ is currently
133 not routinely used during general practice appointments. A snapshot survey of UK parents
134 with preschool children (n=998), showed that 69% of respondents would be interested to
135 know their child’s antibiotic history.[35]
136

137 A computer prompt which automatically integrates a child’s antibiotic history for RTI might
138 be an important cue which interrupts habitual clinician prescribing, reminding them of
139 antimicrobial stewardship in this age group, and create an opportunity for the clinician to
140 start a discussion with the parent/carer about antibiotics. .[13, 36] The ubiquitous use of
141 electronic medical records in UK general practice provides an opportunity to develop a
142 novel data-driven intervention.[27]
143

144 We therefore aimed to explore the potential of using a child’s antibiotic history as a data-
145 enabled nudge intervention to optimise antibiotic prescribing for acute RTI in preschool
146 children presenting to general practice.
147

148 Methods

149 The CHRONICLE Study was a two-phase qualitative study involving a semi-structured focus
150 group with parents/carers of preschool children (phase 1), and ‘think aloud’ interviews with
151 primary care clinicians (phase 1 and 2) to co-produce an automated computer screen
152 prompt about a child’s antibiotic history and a personalised consultation leaflet. Interviews
153 were audio-recorded and transcribed verbatim. In recognition of their contribution, all
154 participants received a gift voucher.
155

156 **Phase 1. Co-design of a prototype computer screen prompt and personalised leaflet**

157

158 *Participants and setting*

159 For Phase 1, we included parents (or carers) and primary care clinicians.

160 Eligible parents or carers (aged ≥ 18 years old) included any primary caregiver (e.g. parent,
161 adoptive parent, stepparent) of a preschool child who had had an acute RTI. A RTI was
162 defined as parent-reported symptoms consistent with recent (within 3 months) upper or
163 lower RTI. Parents of children with hospital-acquired infection or serious underlying
164 conditions (e.g. cystic fibrosis) were excluded. Participants were sought through several
165 recruitment strategies: community networks, study advertisements through local and
166 national parent support groups (e.g. mother/toddler groups); social media; local newspaper
167 and online advertising platforms; and snowball sampling.

168 Primary care clinicians included any General Practitioner (GP) or nurse prescriber that
169 prescribes antibiotics in UK general practice using EMIS Health software. Clinicians were
170 recruited through online advertisements in publications targeted at GPs and bulletins of
171 relevant GP organisations (e.g., Pulse GP); in locum GP chambers and agencies (e.g.,
172 National Association of Sessional GPs); and snowballing.

173 After the initial expression of interest, the Participant Information Sheet and Consent Form
174 were emailed to participants at least one day before interviews. Verbal Informed Consent
175 was obtained prior to the start of the interview.

176

177 *Data collection*

178 Phase 1 data were collected remotely conducted through Microsoft Teams following a semi-
179 structured topic guide. Parents/carers participated together in one focus group first.
180 Clinicians were interviewed individually.

181 Parents were asked about their views on antibiotics for their children, their perceived
182 benefits and harms, and whether the concept of a child's antibiotic history might be of
183 interest to parents. Clinician participants were asked about their views on, and approach to
184 discussing past antibiotic use with parents, and the potential significance of a child's
185 antibiotic history. Both sets of participants were asked for feedback on the design and
186 content (including validity and acceptability) of an early prototype computer screen prompt.
187 Participants were encouraged to give feedback on early draft patient leaflets which had
188 been designed by an information design specialist. The leaflet content focussed on three
189 common paediatric infections: acute cough, sore throat, and acute otitis media. The leaflet
190 content was adapted from other readily available information leaflets from major trials [18,
191 27] and current parent-focussed websites e.g. Healthier Together.

192 The research team initially used document-based prompts in Phase 1, with each sheet
193 representing a computer screen shared with participants using the 'share screen' function
194 on Microsoft Teams. This was an iterative process retaining a similar outlay for all three
195 leaflets, with prototype computer prompts amended following the feedback from
196 participants and subsequent participants commenting on the revised versions. The content
197 of the draft prompt and leaflets were further refined in Phase 2.

198

199 **Phase 2. Piloting and refinement of the beta-version computer screen prompt and leaflet** 200 **embedded in EMIS Health**

201 From Phase 1, a beta-version of the computer screen prompt was generated together with
202 EMIS software engineers. This involved two aspects:

- 203 1. To develop and implement a search algorithm in EMIS Health using Systematized
204 Nomenclature of Medicine Clinical Terms (SNOMED CT) clinical terms. This
205 automatically calculates a child's antibiotic exposure for acute RTI within the past
206 year, and auto-populates the screen prompt. SNOMED CT is a structured clinical
207 vocabulary for use in an electronic health record. The algorithm specifically excluded
208 SNOMED clinical terms related to highly specific patient groups in whom specialised
209 antibiotic regimens are recommended for chronic respiratory diseases (e.g. cystic
210 fibrosis).[37]
- 211 2. To incorporate personalised leaflets within the EMIS software.

212

213 In phase 2, we recruited new clinicians using the same approach as in phase 1. Clinicians
214 participated in a face-to-face 'think aloud' interview with the researcher (O.V.H) to study
215 reactions to the beta-version screen prompt at their work computer. Clinicians activated the

216 prompt at the time of the interview and accessed a ‘dummy’ patient record or a random
217 preschool patient on the EMIS clinical system. Clinicians were asked to ‘think aloud’ while
218 exploring the prompt and leaflet, i.e., to reflect on the features of the prompts, the best
219 time point for the prompt to appear, acceptable leaflet formats, their use during RTI
220 consultations, and say what they thought about each feature and which functions were
221 most/least useful and why.

222

223 *Analysis*

224 Transcripts for both phases were checked for accuracy against the recording and
225 deidentified. Transcripts were analysed (O.V.H.) using thematic analysis aided by specialist
226 software (NVivo version 11) to organize data.[38] Constant comparison was used to
227 compare and code data across interviews from respective phases, taking an inductive
228 approach. Codes were compared with one another to create categories, grouping similar
229 codes together. This hierarchical coding framework applied to subsequent transcripts. *We*
230 *developed descriptive, cross-cutting themes that helped organise and present the data*
231 *centred on different topics (e.g. feedback on computer screen prompt) rather than*
232 *interpretative themes.* Agreement on themes and subthemes, and coding was sought
233 between members of the research team, and 20% of the transcripts were coded by both
234 O.V.H. and A.J.B.

235

236 Results

237

238 In phase 1, eight parents (six mothers, two fathers) participated in the focus group
239 (November 2021). Six parents identified themselves as white British and two as British
240 Asian. The focus group lasted 72 min. Eleven GPs (5 GP partners, 4 salaried GPs, 2 sessional
241 GPs; 6 male, 5 female) participated in phase 1 interviews. Interviews lasted on average 32
242 minutes (range 24 to 48 min).

243 In phase 2, thirteen GPs (7 GP partners, 3 salaried GPs, 2 sessional GP, 1 GP trainee; 5 male,
244 8 female) participated in ‘think aloud’ interviews. Interviews lasted on average 25 minutes
245 (range 16 to 35 min).

246

247 For the purposes of our main research objective, emphasis is given to crosscutting topics
248 from both phases with original findings illustrated below with quotations.

249

250

251 *Reflections on antibiotics for children with acute RTI*

252

253 Both sets of participants described the impact of resource constraints in general practice,
254 the advent of remote consultations as the new ‘normal’, and high demand for care.[39]
255 Parents reported they worried when their child was unwell and said their GPs would spend
256 the bulk of the consultation allaying their worry. Having consulted their GP, parents were
257 often hesitant to start antibiotics citing uncertainty about whether the child really needed
258 antibiotics or concerns about the immediate and long-term antibiotic harms. Parents
259 perceived that GPs did not prescribe many antibiotics to children for acute RTI.

260

261 *'I've always thought about the side effects – because obviously GPs don't prescribe*
262 *them very often, which I think is good – but when they do prescribe them, I often*
263 *worry about what sort of side effects my child is going to experience,....' (Parent 3)*
264

265 GPs, on the other hand, voiced their frustrations, that despite concerted efforts on their
266 part, children were still receiving antibiotics unnecessarily in other sectors of the healthcare
267 system.

268
269 *'...if you do a full examination, spend a lot of time explaining to [parents] why*
270 *antibiotics will not be useful but harmful, they attend A&E, 111, or the Urgent*
271 *Treatment Centre, and eventually when everybody sees these multiple attendances,*
272 *somebody succumbs and gives antibiotics.'* (Phase 1, GP2)

273
274 GPs were aware most RTI in pre-schoolers were of viral origin where antibiotics are not
275 indicated. Most perceived that illness severity was more important in the decision-making
276 process than whether the illness was viral or bacterial.

277
278

279 *Perceptions about the usefulness of a child's antibiotic history*

280

281 Most parents recognised the potential usefulness of a child's antibiotic history to
282 personalise the care their child received. For some, this history would be useful as a
283 potential prompt to discuss antibiotic treatment with their GP, if offered. However, not all
284 parents agreed. A few parents thought that a child's antibiotic history would only be
285 relevant to other (sicker) children receiving many antibiotic courses and not to those
286 children who had none or infrequent antibiotic courses.

287

288 *'I think we'd like to know, but [...] where antibiotics are very rarely prescribed, [you]*
289 *would probably remember over the last year. ". Whether it's something that needs to*
290 *be automated through the GP practice, I would probably say no. But that's just my*
291 *opinion'.* (Parent 1)

292

293 GPs reported being unaware of the published research about antibiotic exposure in
294 preschool children with RTIs and the relatively low number of antibiotic courses needed to
295 impact re-consultation and clinical workload. Some were surprised about how many
296 children receive multiple antibiotic courses for RTIs in a year. A few raised concerns that a
297 child's antibiotic history may undermine initial prescribing decisions by colleagues and that
298 it does not capture antibiotic prescriptions issued outside routine general practice.
299 One GP queried the face validity of the prompt. However, most GPs saw the potential value
300 of a prompt to alert the clinician to a child's antibiotic history even providing information
301 relevant for non-respiratory consultations.

302

303 *'I think [the prompt] is quite useful. What might be interesting is [whether the child]*
304 *has had five courses of amoxicillin since last year, the average child has 1.3, and that*
305 *would be quite an interesting thing to show the mum and say look, [your child has*
306 *had] a lot [of antibiotics] compared to the average child'.* (Phase 1, GP3)

307

308 *Feedback on computer screen prompt and leaflet*

309

310 Phase 1 GPs quickly identified the burden of ‘prompt fatigue’ and information overload at
311 the mention of computer screen prompts. This first impression was echoed by Phase 2 GPs
312 when they accessed the beta-version of the prompt (Figure 1).

313 *‘I’ll be honest with you, there is a lot of prompt fatigue. It just gets to a point where*
314 *people click buttons to get rid of the prompt’ (Phase 2, GP1)*

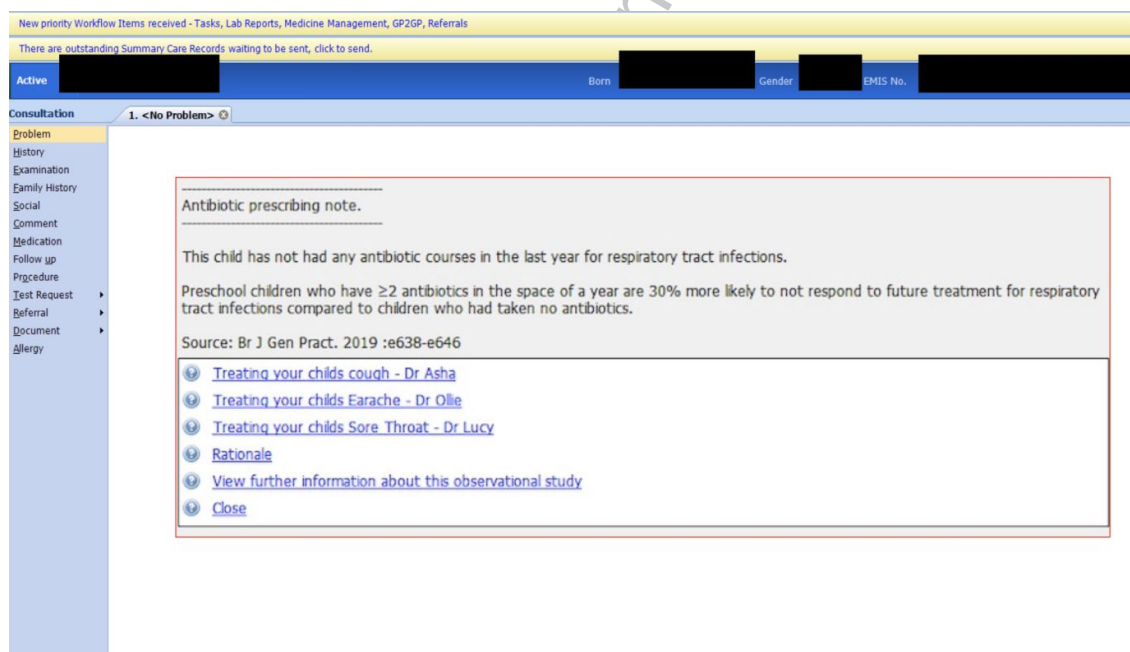
315

316 However, once Phase 2 GPs read the prompt content, most reflected on the prompt’s
317 novelty and its usefulness of taking stock of the number of antibiotic prescriptions a child
318 has had in the past year:

319 *‘I think it’s good because [the number of antibiotic courses is] personalised to the*
320 *child and then it gives you one key hit you in the face piece of information which is*
321 *useful.. It doesn’t give you too much, it offers you something useful.’ (Phase 2, GP4)*

322

323 Figure 1. Beta-version computer screen prompt in Phase 2



324

325

326 Some described the screen prompt as a mechanism ‘to engage the brain’ (Phase 1, GP4) to
327 ‘snap you out of that automated routine’ (Phase 2, GP5). Others described the prompt as an
328 ‘extra tool to persuade parents’ (Phase 1, GP1) that antibiotics are mostly not needed for
329 acute RTI in this age group.

330

331 *‘I think it is [] useful because you do get set in your ways as a GP. [...] You have a child*
332 *with a RTI – you’re in ‘Autopilot.’ You’ve done this a thousand times before, and*
333 *you’re just in that mode.... (Phase 1, GP8)*

334

335 Perceived advantages of the screen prompt included: automatically alerting the clinician
336 about a child's antibiotic history for RTI in the past year and supporting the advice clinicians
337 would routinely give parents. This included providing a useful starting point for discussions
338 with parents about the benefits and harms of antibiotic use.

339 *'There's an expectation by some parents when they bring their poorly child that*
340 *they're going to get an antibiotic because that's what's happened three times*
341 *already this year. Knowing this statistic, I think that's going to be a useful thing to*
342 *share with the parents.'* (Phase 2, GP7)

343

344 All GPs acknowledged that the overarching decision to prescribe an antibiotic was based on
345 their clinical assessment at the time (rather than the prompt itself) but recognised that
346 many RTI in this age group sit in an 'equipose category' (Phase 2, GP 9) with many
347 competing influences on prescribing.

348 *'I think it would influence [prescribing] in a sense I would want to give them less*
349 *[antibiotics], especially if I was on the fence of whether they should have them or not.*
350 *It would influence me trying to either now or in a future conversation, [...] have a*
351 *health beliefs conversation about why the child is receiving so many antibiotics.'*
352 *(Phase 2, GP13)*

353

354 GPs broadly envisaged the prompt working in two scenarios. In the first scenario, where a
355 child has had multiple antibiotic courses for acute RTIs and with an unremarkable clinical
356 assessment, the prompt would alert the clinician *'to try and talk this through with the*
357 *parents that [antibiotics] may not always be appropriate'* (Phase 2, GP10), acknowledging
358 the valid worry that parents have when their child is unwell.

359 The other scenario was for children who had few antibiotics (0 or 1) within the past year for
360 RTI (and an unremarkable clinical examination) where the prompt would now either
361 support those parents *'who really don't want to give [antibiotics] if they can avoid them and*
362 *[those parents] who are just unsure and just leave it to the clinician'* (Phase 2, GP1)

363

364 Parents expressed mixed views and caution in the interpretation of the prototype screen
365 prompt. Some perceived potential value in challenging the commonly held belief that
366 antibiotics are needed for childhood RTI. Other parents voiced concerns about how the
367 screen prompt might be misinterpreted as blaming parents for having a sickly child or
368 'wrongly' consulting the GP, and inadvertently causing more anxiety in already worried
369 parents.

370

371 *'I think there's the worry that the parents would feel like they're being [judged]. The*
372 *child has had antibiotics quite a few times and this prompt comes up, whether that's*
373 *some sort of reflection on them as bad parents.'* (Parent 5)

374

375 However, GPs appeared not overly concerned about parents misinterpreting the screen
376 prompt provided there was careful communication between parents and clinician. Some

377 GPs perceived that this new personalised information might positively influence parents'
378 health seeking behaviour.

379

380

381 *Timing of screen prompt*

382 Both sets of GP participants recognised the screen prompt would need to appear at the
383 start of the consultation (i.e. upon accessing the child's medical records) rather than at the
384 time of prescribing or entering consultation codes. That the prompt might appear for non-
385 respiratory ailments was acceptable as there was a '50:50 chance' that the consultation
386 would relate to a RTI in preschoolers.

387 *'I think it's important to have this before the consultation starts, rather than when*
388 *you click 'Prescribe,' and then [the prompt] pops up because, by that point, you've*
389 *almost always made up your mind'. (Phase 1, GP9).*

390

391 *Limitations of computer screen prompt*

392 Phase 2 GPs underlined a few limitations associated with the prompt. The main limitation
393 was the inability to capture all antibiotic prescribing data for RTI in the community, e.g. out-
394 of-hours setting. Likewise, the prompt would not be seen by GPs who only open a new
395 consultation after the patient has left the room. Clinicians recognised that the prompt may
396 only have impact in consultations where there have been multiple antibiotic courses
397 prescribed within a year. A few were concerned that less experienced prescribers might be
398 swayed not to prescribe when clinically indicated.

399

400 *Reflections on leaflets about common RTI*

401 Parents reported that their GPs would rarely give them leaflets following a consultation,
402 which Phase 1 GPs confirmed. Instead, GPs preferred to signpost patients to specific
403 websites for further information. However, many parents were keen on a personalised
404 leaflet as this was seen as giving parents '*something to refer back to if the child became*
405 *unwell again'* (Parent 3). This could also be a paperless version of the leaflet sent to their
406 mobile phone. In terms of content, parents wanted to have specific details about the illness
407 itself, its anticipated trajectory, (any) additional benefit of taking an antibiotic, alternative
408 medication(s) to try at home and symptoms and signs to look out for (visual traffic-light red
409 flags).

410

411 Figure 2. Example leaflet developed in the study for acute cough

412

Hello, *Oliver*, my name is Dr Asha. I help children who have a cough, sore throat or earache.

Oliver has had 3 antibiotic courses in the past year

Coughs in children will usually go away within **10 to 20 days**, without taking antibiotics, as the body is usually able to fight these infections on its own.

Using antibiotics will likely only reduce the duration of your child's symptoms by **less than 1 day**.

NHS UNIVERSITY OF OXFORD

Every time a child uses an antibiotic, there is a greater chance that bacteria can become resistant. This means antibiotics no longer work for the next infection, putting your child at greater risk in the future.

If 10 children took antibiotics for their cough, it would do nothing for nine children.

Antibiotics give children side-effects like diarrhoea and tummy pains. They also change the good bacteria in your child's gut.

Treating [child's name]'s symptoms

STEP 1

Make sure your child gets plenty of rest. This is important for their immune system to fight the infection. Also, encourage them to drink plenty of water, as this will prevent dehydration.

STEP 2

If your child has a fever this is a sign their immune system is fighting. If your child is uncomfortable as a result of fever or pain, give them either paracetamol or ibuprofen. Avoid using ibuprofen regularly, as this may interfere with your child's ability to fight off the infection. If you have used paracetamol first and your child is still uncomfortable before the next dose is due, consider using ibuprofen.

STEP 3

Look out for signs when you should look for expert help (see the next page)

STEP 4

Ensure you wash your hands frequently and avoid sharing things at home, such as towels.

WHEN SHOULD I SEEK URGENT MEDICAL ATTENTION?

GO TO THE NEAREST HOSPITAL EMERGENCY (A&E) DEPARTMENT OR PHONE 999 IF...

RED

YOUR CHILD NEEDS URGENT MEDICAL ATTENTION

- Your child is going blue around the lips
- Has pauses in their breathing (apnoeas) or has an irregular breathing pattern or starts grunting
- Severe difficulty in breathing - too breathless to talk or eat/drink
- A harsh noise as they breath in (stridor) present all of the time (even when they are not upset)
- Becomes pale, mottled and feels abnormally cold to touch
- Becomes extremely agitated (crying inconsolably despite distraction).
- Confused or very lethargic (difficult to wake)
- Develops a rash that does not disappear with pressure (the 'Glass Test')

CONTACT YOUR GP OR PHONE 111 TODAY, IF...

AMBER

YOU NEED TO CONTACT A DOCTOR OR NURSE TODAY

- Has rapid breathing or they are working hard to breath - drawing in of the muscles below their lower ribs, at their neck or between their ribs
- A harsh breath noise as they breath in (stridor) present only when they are upset
- Seems dehydrated (sunken eyes, drowsy or not passed urine for 12 hours)
- Is becoming drowsy (excessively sleepy) or irritable (unable to settle them with toys, TV, food or picking up)
- Has extreme shivering or complains of muscle pain
- Continues to have a fever of 38.0°C or above after paracetamol Any fever in infant less than 3 months old

CONTINUE PROVIDING CARE AT HOME, IF...

GREEN

None of the above features are present, keep an eye on your child's symptoms and continue providing care at home. If you are still concerned about your child, contact NHS 111 - dial 111 or for children aged 5 years and above visit 111.nhs.uk

413
414

415 The leaflets embedded within the prompt (e.g. Figure 2) were overall well received by Phase
416 2 GPs. GP participants commented on the ease of access and content validity congruent
417 with advice they would give to parents about the expected illness course, the benefits, and
418 harms of taking antibiotics, self-care options and safety-netting advice. They welcomed the
419 leaflet's colourful graphics and the personalised information (child's name, number of
420 antibiotics prescribed for acute RTI in the past year) making it appealing to read. GPs
421 particularly welcomed the general safety netting advice as this was often difficult to do
422 comprehensively and without the reassurance that the parent had fully understood this
423 advice.

424

425 Discussion

426

427 *Main findings*

428

429 We have co-produced a data-driven intervention, embedded in the electronic medical
430 records, that integrates a child's antibiotic history for acute RTI and summarises this in a
431 personalised leaflet. Most parents and GPs saw the potential benefit of the proposed
432 intervention. GPs had not appreciated the relatively low number of antibiotic courses
433 needed to impact their clinical workload by encouraging re-consultations for self-limiting
434 RTI.

435

436 *Comparison with existing literature*

437 Electronic screen prompts in the field of antibiotic stewardship are not new [16, 24, 33].
438 Most prompts have centred around increasing clinicians' adherence to antibiotic prescribing
439 guidelines, often as part of a multimodal intervention. However, in these studies, clinicians
440 often had to navigate through informative yet complex prompts detailing: a summary of
441 antibiotic prescribing recommendations, a printable patient information sheet, a summary
442 of research evidence concerning no antibiotic or delayed antibiotic prescribing strategies,
443 information on the definite indications for antibiotic prescription, as well as information and
444 evidence on the risks of not prescribing.. The uptake and routine use of such prompts were
445 mixed even in controlled trial settings.[40] Trial GP participants reported that such prompts
446 were often not used (or used rarely) due to the limited consultation time to read the
447 prompts. Some GPs reported not needing them as they claimed that they were already
448 following the advice recommended in the guidelines.[40] In the above trials, prompts were
449 activated upon entering consultation codes rather than at the point of accessing the child's
450 medical records.

451 There are good existing parent-facing leaflets informed by rigorous research and addressing
452 common concerns held by parents, supporting their capability to care for their child at
453 home and when to seek help. [41, 42] Although used in mostly effective multifaceted trials
454 in the UK [18, 27, 42, 43], one could argue that some leaflets were too lengthy for busy
455 parents to read (e.g. 8-page booklet on RTI in children), not sufficiently specific to the
456 presenting type of RTI illness, or the degree of personalisation did not go beyond that of the
457 name of the clinician and child. This hampers wider and consistent implementation. In
458 contrast, our 2-page leaflets were personalised, included the child's antibiotic history for
459 RTI, gave specific information to the diagnosis and could be incorporated into existing GP
460 text-messaging software.

461 *Strengths and limitations*

462 Using a bottom-up approach and transdisciplinary team (clinicians, parents, software
463 engineers, information design specialists, researchers), we have produced a stratified, data-
464 driven intervention tailored to preschool children with acute RTI. The intervention is of
465 efficient design, low cost, and potentially scalable across NHS general practice.

466 Using the principles of behavioural economics [30] and integrating personalised data into a
467 succinct screen prompt is innovative. The prompt does not restrict prescribing decisions. We
468 were specifically mindful not to encroach on clinical autonomy as part of the prompt
469 content and purposefully did not direct clinicians to guidelines.[40] In view of the enormous
470 variation at which point of the consultation clinicians enter information into the medical
471 records, prompts were activated at the point of accessing the child's medical records. We
472 have adapted scientific information into a concise, parent-friendly leaflet, personalised to
473 the child, which can be slotted into existing GP text messaging software.

474 We accept that there are limitations. Methodologically, there is a limitation of interview
475 methods where participants may filter what they disclose to the researcher by giving
476 socially desirable answers. However, both parents and GPs were happy to speak freely
477 about potential negative aspects of screen prompts within the consultation. We would have
478 liked to include other non-GP clinicians (e.g. physician associate, nurse prescriber) to glean
479 their feedback. Likewise, we recognise the shortcomings of including one parent focus
480 group of eight parents/carers. However, this focus group gave us early insight into parents'
481 views on a child's antibiotic history before approaching clinicians as the target group for this

482 intervention. The voluntary nature of participation in the study and the use of convenience
483 sampling may have led to an unrepresentative participant sample taking part which may
484 have led to more favourable responses.

485

486 There are limitations in the design and functionality of the prompt within EMIS Health
487 software as the primary purpose of the software is for medical note-keeping and not
488 research. It is not possible to capture all community antibiotic prescribing data for childhood
489 RTI through EMIS. The personalised leaflets are currently only in English.

490

491 We asked participants about a hypothetical scenario where such a prompt might be used
492 during the consultation and not their feedback after 'real' GP consultations. This and the
493 proposed mechanisms (Suppl. file 1 Logic model) will be important to clarify in the next
494 phase of the research.

495

496

497 *Implications for clinical practice, policy, and future research*

498 Exposure to antibiotics has important clinically relevant implications especially in young
499 children [44] and potentially predisposes them to higher rates of RTI.[45, 46] Clinicians need
500 to be cautious. The decision to prescribe an antibiotic" now needs to be balanced with the
501 likelihood that repeated antibiotic exposure early in life has consequences for the child in
502 terms of their health but also impacts on clinicians' workload.[14] Likewise, further research
503 is needed to address the general public's misunderstanding of antibiotic resistance and that
504 (even) using antibiotics a few times a year for mostly self-limiting RTI is harmful.[47, 48] One
505 option might be to use such an electronic prompt to support this message with specific
506 reference to childhood acute RTIs.

507 This study illustrates the potential value of using electronic health records data to provide
508 clinicians and parents with useful and succinct personalised information about a child's
509 antibiotic history. We have taken on board participants' feedback to create an electronic
510 prompt that fits within the consultation flow and does not impinge on clinical autonomy.
511 The prompt offers a 'light-touch' behaviour change strategy, providing a subtle way of
512 altering people's behaviour. Both participant groups welcomed the embedded personalised
513 leaflet (i.e. when the leaflet is accessed, an entry is automatically entered within the
514 medical records).

515 For policymakers, such low cost, efficient interventions can be scaled up nationally if shown
516 to be effective. Future research will aim to test this intervention in a future trial.

517

518 Ethics Approval

519 The study was conducted according to the guidelines of the Declaration of Helsinki and
520 approved by the University of Oxford's Research Ethics Committee (Reference:
521 R71870/RE001, 15 September 2021).

522

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526 Competing interests

527 The authors have declared no competing interests.

528

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