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Measurement of exhaled nitric oxide fails to predict left atrial pressure assessed by E/e ratio in elderly patients

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1 **Measurement of exhaled nitric oxide fails to predict left atrial pressure assessed**
2 **by E/e ratio in elderly patients**

3

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30 **Abstract:**

31 Background: During left-sided heart failure, left atrial and pulmonary venous pressure increase,
32 which may lead to pulmonary congestion. Previous cohort studies examining participants with
33 symptomatic heart failure or rheumatic heart disease suggest a relationship between increased left
34 atrial pressure (LAP) and fractional exhaled nitric oxide (FeNO).

35 Aim: In this study, we examined the strength of association between FeNO and echocardiographic
36 assessment of LAP by the E/e' ratio to determine if FeNO could be used to identify those with
37 elevated LAP. Office-based diagnostic testing for heart failure could be improved if such a
38 relationship exists, as FeNO can be measured using a portable hand-held analyser.

39 Design and Setting: This cross-sectional cohort study examined a subset of the OxVALVE cohort
40 aged >65 years. Data collection was undertaken in primary care practices in central England.

41 Method: Each participant underwent a focused cardiovascular history and clinical examination.
42 Standard transthoracic echocardiographic (TTE) assessment was performed on all participants, with
43 the E/e' ratio calculated to obtain a validated surrogate of LAP. FeNO was measured in 227
44 participants.

45 Results: FeNO was higher in men and no different in participants with asthma, chronic obstructive
46 airways disease, or using inhaled steroids. Participants with a high E/e' (>14) were older with a higher
47 proportion of women. There was no relationship between E/e' and FeNO, either when measured as a
48 continuous variable or in the group with high E/e'.

49 Conclusion: FeNO is not an accurate predictor of elevated left atrial pressure in a primary care setting.

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Keywords: Cardiology, Heart Failure, Primary Health Care, General Practice, Family Practice

65 Introduction

66
67 Heart failure (HF) is a major cause of morbidity and mortality in the United Kingdom and
68 worldwide.^{1,2} Approximately 50 percent of patients with HF present with a left ventricle that
69 essentially contracts normally, termed HF with preserved left ventricular ejection fraction (HFpEF).³
70 Early diagnosis of HF is difficult despite its high prevalence, particularly in the elderly, with
71 symptoms of heart failure, such as fatigue and shortness of breath, being frequently seen due to other
72 diagnoses.⁴ Assessment of left atrial pressure (LAP) in these patients is traditionally carried out by
73 echocardiography or invasive cardiac catheterisation. Formal echocardiography requires specialist
74 training and expertise, and is therefore not widely used in the primary health care setting.
75 Furthermore, even when left ventricular ejection fraction (LVEF) is measured using point of care
76 echocardiography, LAP assessment requires Doppler measurement that is often unavailable on point
77 of care devices. A simple cost-effective test for elevated LAP requiring minimal expertise could
78 therefore lead to improved HF diagnosis and identify those patients most likely to benefit from
79 medical therapy.

80
81 Fractional exhaled nitric oxide (FeNO) may be a suitable diagnostic marker for elevated LAP. In
82 left-sided HF (whether due to reduced ejection fraction [HFrEF] or preserved ejection fraction
83 [HFpEF]), the left ventricle is unable to adequately pump blood to the systemic circulation, leading
84 to elevated LAP⁵ and a subsequent increase in pulmonary venous pressure, resulting in pulmonary
85 congestion and a possible increase in FeNO.⁶ Nitric oxide (NO) is a potent vasodilator⁷ whose
86 production may be “a compensatory response to increased flow in the pulmonary venous circulation”
87 in left-sided HF.⁶ Measurement of FeNO is frequently used for the assessment of inflammatory
88 airways disease and portable hand-held FeNO analysers are now inexpensive and reliable,⁸ allowing
89 testing in the primary health care setting. If FeNO is a reliable predictor of elevated LAP, it could be
90 used as a simple adjunct to current HF assessment algorithms. We therefore sought to determine
91 whether FeNO can identify subjects with an elevated LAP (E/e ratio).

92

93 **Methods**

94

95 ***Subjects***

96 This cross-sectional cohort study examined a subset of the original OxVALVE cohort recruited in
97 2014 and 2015.⁹ The OxVALVE participants are an extensively characterised population-based
98 cohort aged 65 years and older who were invited to attend screening examination and
99 echocardiography at their local primary care (general) practice in central England, UK. Those with
100 a previous diagnosis of valvular heart disease, a terminal illness, excessive frailty, or inability or
101 unwillingness to provide consent were excluded.⁹ This subset of participants was recruited
102 consecutively (once the appropriate testing equipment was available) to examine this specific study
103 question, with no additional inclusion or exclusion criteria applied. Four separate general practices
104 took part in this substudy. All participants provided written consent and the study was approved by
105 the local research ethics committee (Southampton, UK; REC Ref: 09/H0502/58).

106

107 ***Clinical and echocardiographic assessment***

108 Demographic and clinical information was collected from each participant, combined with a focused
109 cardiovascular history and clinical examination. The cardiovascular history consisted of past medical
110 conditions (such as asthma, chronic obstructive pulmonary disease [COPD], diabetes and
111 hypertension, past surgical procedures (such as coronary artery bypass grafting [CABG] and
112 percutaneous coronary intervention [PCI]), smoking history (never smoked, ex-smoker [quit >1 year
113 ago] or current smoker [smoked in last 12 months]), current medications (including inhaled steroids),
114 and the presence of HF symptoms (New York Heart Association classification [NYHA]). Clinical
115 examination included blood pressure, heart rate, height and weight.

116

117 Standard transthoracic echocardiography (TTE) was performed on all participants by a British
118 Society of Echocardiography (BSE) accredited sonographer or physician using a Vivid-Q portable

119 echocardiographic machine. The reference standard for FeNO was the E/e' ratio, a validated measure
120 of LAP,⁵ calculated by dividing the TTE-derived mitral E velocity (E) by the septal e' velocity (e').
121 Mitral E velocity measures mitral valve flow velocity in early diastole, while septal e' measures tissue
122 velocity at the mitral valve annulus and is an assessment of vertical relaxation of the base of the heart
123 during diastole. Both measurements were performed using standard methods in the apical four-
124 chamber view, with E velocity measured using pulse wave Doppler at a sample volume placed
125 between the mitral leaflet tips, and septal e' velocity measured using pulse wave tissue Doppler
126 imaging at a sample volume in the basal septum.

127

128 A consecutive subset (nested within the main OxVALVE cohort) of 277 participants underwent
129 FeNO measurement in parts per billion (ppb) using a portable electrochemical analyser (NIOX VERO
130 Airway Inflammation Monitor, Aerocrine AB, Råaundavägen 18, SE-169 67, Sweden) and variables
131 with a previously observed association with FeNO examined. E/e' ratio was grouped into normal
132 (<8), intermediate (8-14) and high (>14) strata.¹⁰

133

134 *Statistical analysis*

135 Due to non-normal distribution, FeNO was compared in participants matched according to clinical
136 factors (sex, asthma, COPD, smoking status, diabetes mellitus, use of inhaled steroids) previously
137 identified as affecting FeNO levels (see Discussion) using a Mann-Whitney U-test (or Kruskal-Wallis
138 test for the three-level smoking variable). FeNO was compared across E/e' strata using a Kruskal-
139 Wallis test. Categorical variables are expressed as proportions and percentages, and were compared
140 across E/e' strata using a Chi-square test. Associations between FeNO and age, and FeNO and E/e'
141 (as a continuous variable) were assessed using univariate linear regression. No multivariate
142 adjustment was performed since there were so few significant univariate associations between FeNO
143 and demographic, echocardiographic and HF risk factors. Statistical analysis was performed using
144 the R statistical computing package (version 3.5.1) and a P-value <0.05 considered significant.

145 Results

146 *FeNO and clinical characteristics*

147 The OxVALVE subset was predominately white, with a mean age of 73.5 ± 6.3 years and 45% female
148 (**Table 1**). There were 8 (2.9%) current smokers, 20 (7.2%) participants with asthma and 16 (5.8%)
149 participants with COPD. Only 10 (3.6%) participants were in NYHA Class III/IV. Measurement of
150 FeNO was attempted in a total of 277 consecutive participants and successful in 227 (82%). There
151 were no significant differences in major demographic variables between those who could and could
152 not successfully complete a FeNO measurement (supplementary table 1).
153

154
155 In those participants with a successful FeNO measurement, the mean FeNO was 24.2 ± 15.6 ppb
156 (median 20 ppb, interquartile range [IQR] 14-29). Selected variables previously observed to correlate
157 with FeNO are illustrated in **Figure 1** and the relationship between FeNO and age shown in **Figure**
158 **2A**. In the OxVALVE subset, only sex had a statistically significant association with FeNO ($p =$
159 0.003), with male participants having higher levels compared with females (median 22 and 18 ppb,
160 respectively). There was no correlation with age (univariate linear regression beta 0.28, 95%
161 confidence interval -0.05 - 0.61, $p=0.09$), and no statistically significant differences in FeNO in
162 participants with asthma ($p=0.78$), COPD ($p=0.45$), or diabetes mellitus ($p=0.20$), those using inhaled
163 steroids ($p=0.82$), and no relation to smoking status ($p=0.56$).
164

165 *FeNO and echocardiographic variables*

166 Echocardiographic measurements are displayed in **Table 1** and demonstrate a median E/e' ratio of
167 10.7 (IQR 9.1-13.5), which lies within the intermediate range (8-14) of population levels.¹⁰
168 Relationships between E/e' and FeNO are displayed in **Figure 2B** and show no association of E/e'
169 ratio with FeNO (univariate linear regression beta -0.03, 95% confidence interval -0.06 - 0.01,
170 $p=0.10$). As a sensitivity analysis, when assessment was restricted to those with breathlessness

171 (NYHA class II/III, n=99), there remained no significant association between E/e' and FeNO
172 (univariate linear regression beta -0.08, 95% confidence interval -0.17 - 0.0, p=0.06).

173

174 Clinical and echocardiographic characteristics of the normal, intermediate and high E/e' strata are
175 displayed in **Table 2** with mean FeNO levels of 23.8 ± 11.4 , 24.1 ± 16.1 , and 22.5 ± 13.7 ppb in the
176 normal, intermediate and high E/e' groups, respectively (p=NS). Those with high E/e' were older
177 than those with normal E/e' (76.8 ± 7.4 years vs. 72.0 ± 5.5 years, $p < 0.001$) and the proportion of
178 females in the high E/e' range was greater than in the normal E/e' range (58.6% vs 35.9%, $p = 0.05$).
179 There were no other statistically different clinical and echocardiographic characteristics across the
180 E/e' strata.

181 **Discussion**

182

183 In this population cohort study of primarily asymptomatic participants, we demonstrate no significant
184 association between the echocardiographically derived E/e' ratio (a reference standard for LAP) and
185 FeNO, and conclude that FeNO does not predict the presence or absence of HF in the primary care
186 setting.

187

188 **Strengths and limitations**

189 This is the largest study to assess the presence or absence of an association between FeNO and E/e'.
190 A major strength of the study is that investigation was undertaken in primary care where such a test
191 would prove most useful. In addition, the study was conducted prospectively in consecutive
192 participants and OxVALVE participants are representative of the wider primary care population.¹¹
193 Furthermore, participants were aged 65 years and older, the cohort most frequently affected by HF.

194 The cross-sectional design of this study means important day to day intra-individual variation
195 in expired nitric oxide was not assessed.¹² In addition, potential confounders such as caffeine and
196 alcohol consumption were not recorded, limiting our ability to adjust for these variables.^{13,14}

197 FeNO measurement was unsuccessful in 18% of participants tested. Reasons for unsuccessful
198 measurement were mainly related to inability of the patient to follow instructions or maintain constant
199 expiration of approximately 50 mL/s. Consistent with previous studies,¹⁵ we demonstrate that FeNO
200 measurement is not feasible in all individuals, which limits its use as a diagnostic test.

201 Participants from the OxVALVE population cohort were recruited from their local general
202 practice (rather than hospital in/outpatients) and were consequently relatively healthy. However, this
203 is the population in whom a simple screen for LAP would have greatest value. There were low
204 numbers of current smokers (2.9%), participants with asthma (7.2%), COPD (5.8%), or
205 cardiovascular risk factors such as diabetes (13.7%), which may have reduced study power.
206 Furthermore, there is a significant difference in the severity of population level asthma, which may
207 be controlled or seasonal, and that of asthmatics presenting to secondary care. Previous associations
208 of FeNO with conditions such as asthma may not be relevant in less severe disease.¹⁶⁻¹⁸

209

210 **Comparison with existing literature**

211 The mean FeNO in this cohort was 24.2ppb, which is slightly higher than that observed in previous
212 population studies using a flow rate of 50 mL/s. Population studies in Japan,¹⁹ Germany,²⁰ United
213 States,²¹ and New Zealand²² all observed lower mean FeNO. However, these studies were in
214 younger cohorts than the OxVALVE subset. A previously reported association between older age
215 and increased FeNO may explain the differences in mean FeNO between studies.²⁰ Our study aligns
216 with previous population studies that demonstrated higher mean FeNO levels in men compared with
217 women.^{19,21-23}

218 Previous research has suggested an association between various clinical conditions and FeNO,
219 including asthma,¹⁶⁻¹⁸ COPD,^{24,25} and diabetes.²⁶ Current and previous smoking habit,^{23,25,27} inhaled
220 steroid use,²⁸⁻³⁰ and low and high BMI³¹ have also been associated with low FeNO. However, no
221 such associations were observed in the OxVALVE subset.

222 Previous smaller studies have suggested a relationship between FeNO and elevated LAP. A
223 study of 34 chronic symptomatic HF patients found increased FeNO levels post exercise, although
224 no association with echocardiographic measures was observed at baseline.⁶ Conversely, a study
225 comparing compensated (n=30) and decompensated (n=7) HF patients to normal controls (n=90)
226 observed increased FeNO in HF patients.³² In addition, a study comparing subjects with rheumatic
227 heart disease (n=74) and normal controls (n=27) found significantly increased FeNO in patients with
228 pulmonary hypertension,⁷ suggesting a relationship between pulmonary congestion and FeNO (since
229 pulmonary hypertension is almost universally due to high LAP in rheumatic heart disease). However,
230 these studies were undertaken in selected younger cohorts with HF and rheumatic heart disease.
231 OxVALVE is a population-based study in subjects aged 65 years and older and the lack of any
232 association between FeNO and E/e' ratio may be more relevant in an unselected older population.

233

234 **Implications for research and/or practice**

235 This study contributes to current research that examines the relationship between FeNO and LAP,
236 with particular focus on the elderly population, a group that bears the greatest burden of morbidity
237 and mortality related to HF. Despite evidence suggesting elevated FeNO may be a marker of LAP,
238 our study provides evidence that there is no significant relationship between the two. As such, FeNO
239 would not be a clinically appropriate test for elevated LAP in HF within this patient cohort. There
240 remains an unmet need for improved assessment of potential HF patients in the primary care setting.
241 In patients with previously diagnosed heart failure, the clinical decision as to whether adjustment of
242 current management approach would improve symptoms is often difficult to assess, with complex
243 interactions between cardiac and non-cardiac contributors to symptoms.³³ Beyond the assessment of
244 symptoms, there has also been a move towards more objective methods to guide management.³⁴
245 Natriuretic peptides may help in this scenario, but require additional patient contact for blood testing
246 and adjustment of treatment. Further research should examine whether bedside testing (using point

247 of care ultrasound to assess the lungs or inferior vena cava, for example) would help to refine clinical
248 management.

249

250 **Conclusion**

251 FeNO is not an accurate predictor of elevated E/e' in predominantly asymptomatic primary care
252 patients.

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- 360
361

362 **Table 1: Clinical characteristics, heart failure risk factors, and echocardiographic measurements in**
 363 **the OxVALVE subset**

364
365

	Total cohort
Characteristic	
n	277
Age* (years)	73 (68 – 78)
Sex†	
Male	152 (54.9)
Female	125 (45.1)
Ethnicity†	
European	269 (97.1)
Asian	5 (1.8)
Black	2 (0.7)
Mixed	1 (0.4)
Smoking status†	
Non-smoker	133 (48.0)
Ex-smoker	136 (49.1)
Current	8 (2.9)
BMI* (kg/m ²)	27.6 (24.5 – 30.4)
Asthma†	20 (7.2)
COPD†	16 (5.8)
Inhaled steroids†	12 (4.3)
Atrial fibrillation†	39 (14.1)
Diabetes†	38 (13.7)
Hyperlipidaemia†	134 (48.4)
Hypertension†	149 (53.8)
Myocardial infarct†	12 (4.3)
PCI†	11 (4.0)
CABG†	5 (1.8)
Chronic kidney disease†	35 (12.6)
NYHA class	
I	178 (64.3)
II	89 (32.1)
III	10 (3.6)
Socioeconomic class†	
1 (least deprived)	67 (24.2)
2	65 (23.5)
3	85 (30.7)
4	36 (13.0)
5 (most deprived)	21 (7.6)
Missing	3 (1.1)
Ejection fraction*	69% (66-73%)
E velocity*	0.60 (0.51-0.71)
Septal e' velocity*	0.05 (0.04-0.07)
E/e'*	10.7 (9.1-13.5)
FeNO (ppb)‡	24.2 (15.6)

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368 FeNO measurement was unsuccessful in 50 participants – these participants' data is included in all
369 variables outside of FeNO.

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371 *Median (interquartile range), †Counts(%), ‡Mean(SD)

372 Abbreviations: BMI = body mass index, CABG =coronary artery bypass grafting, COPD = chronic
373 obstructive pulmonary disease, NYHA = New York Heart Association, PCI = percutaneous
374 coronary intervention, SEC Quintile = measure of socioeconomic deprivation (Quintile 1= least
375 deprived, Quintile 5= most deprived), ppb = parts per billion, Ethnicity = other includes African,
376 Asian and Mixed origin

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Table 2: Clinical characteristics of the OxVALVE subset stratified according to E/e' ratio

Characteristic	Normal E/e' (<8)	Intermediate E/e' (8-14)	High E/e' (>14)	P-value
n	39	173	58	-
Age*	71 (68-76)	72 (68-77)	76 (71-83)	<0.001 [^]
Sex [†]				0.045 [°]
Male	25 (64.1)	100 (57.8)	24 (41.4)	-
Female	14 (35.9)	73 (42.2)	34 (58.6)	-
NYHA class [†]				0.098 [°]
I	25 (64.1)	121 (69.9)	29 (50.0)	-
II	12 (30.8)	47 (27.2)	26 (44.8)	-
III	2 (5.1)	5 (2.9)	3 (5.2)	-
Ejection fraction*	69% (65-72%)	69% (66-73%)	68% (65-74%)	0.97 [^]
FeNO (ppb)*	19 (17-31)	21 (14-29)	19 (14-27)	0.74 [^]

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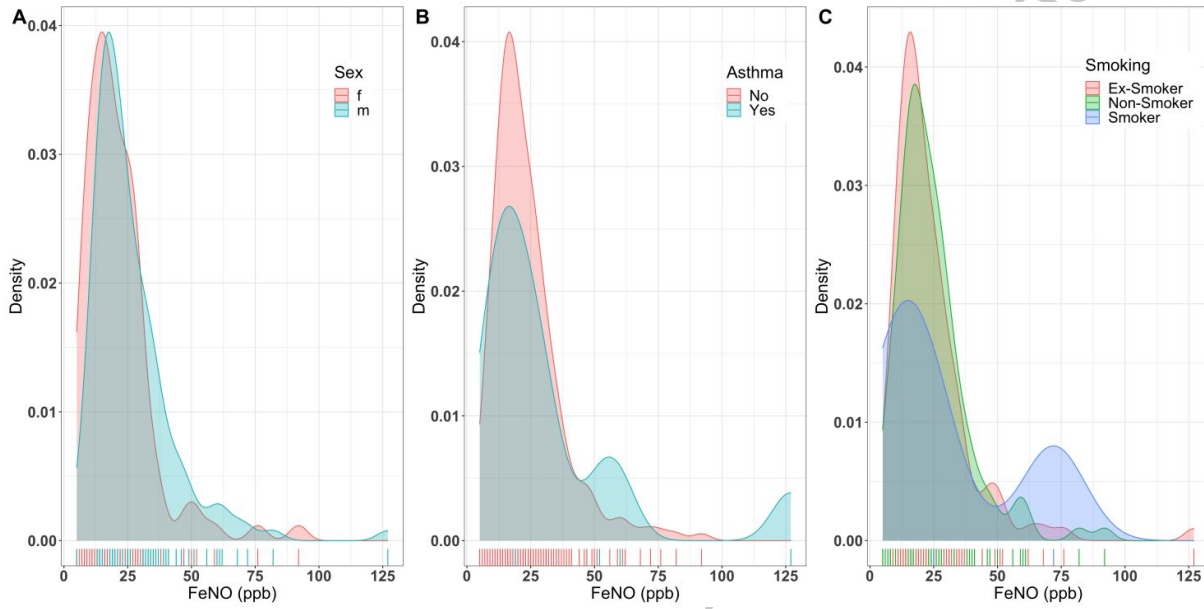
Note: 7 (of 277) participants did not have E/e' measured.

Abbreviations: *Median (interquartile range), [†]Yes(%), [°]Chi-square test for independent association of categorical variables with E/e' strata, [^]Kruskal-Wallis test for independent association of continuous variable with E/e' strata, EF = ejection fraction, FeNO = fractional exhaled nitric oxide, NYHA = New York Heart Association

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Figure 1: FeNO distribution according to clinical characteristics



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A: Distribution of FeNO stratified by sex. Red = female, Blue = male

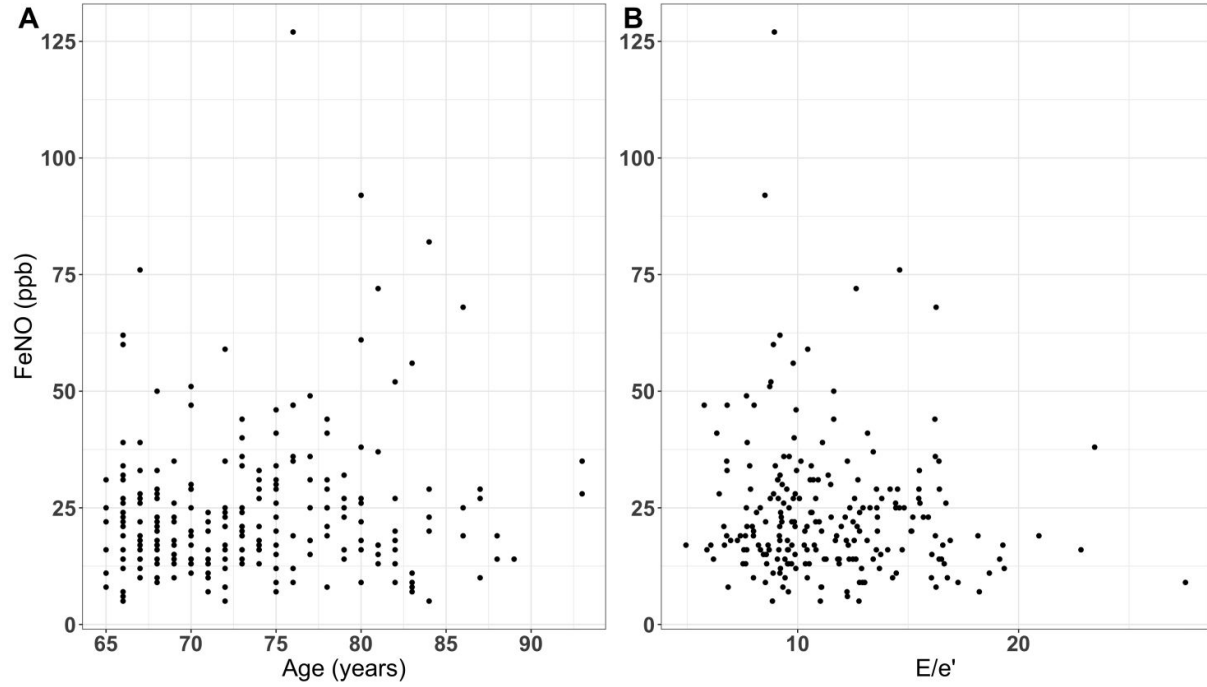
B: Distribution of FeNO stratified by presence of asthma. Red = no asthma, Blue = asthma

C: Distribution of FeNO stratified by smoking status. Red = ex-smoker (quit >1 year ago), Green = non-smoker (never smoked), Blue = current smoker (smoked within past 1 year)

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Figure 2: FeNO according to (A) age and (B) E/e' ratio



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Abbreviation: ppb, parts per billion

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